Clerk of the House of Representatives Legislative Resource Center B-106 Cannon Building Washington, DC 20515 http://lobbyingdisclosure.house.gov

Secretary of the Senate Office of Public Records 232 Hart Building Washington, DC 20510

http://www.senate.gov/lobby

LOBBYING REGISTRATION

	sclosure Act of 1995 (Section 4								
Check One:	New Registrant ✓ New Client for Exist	ing Registrant Amendment		ective '	tive Date of Registration 02/01/2011 Identification 5131				
2. House Identification 30019			•						
REGISTR	ANT ✓ Organization/Lobbying I	Firm Self Employed Indivi	dual			_			
3. Registrant	Organization Baker & Hostetle	r LLP							
Address 1050	Connecticut Avenue, N.W.	Address2	Suite 11	100					
City Wasl	hington	State	DC	Zip	20036	-	Country	USA	
4. Principal plac	ce of business (if different than line	: 3)		•					
City Cleve	eland	State	ОН	Zip	44114	-	Country	USA	
5. Contact name	e and telephone number	International N	lumber	•					
Contact Ms.	Peggy Peterson	Telephone (202) 861-1500		-mail					
6. General desc	ription of registrant's business or ac			-					
law firm									
7. Client name Address	FINRA, Financial Industy Regulat 1735 K Street, N.W.	ory Authority							
	·	Q	D.C.	7.	20006			****	
City 8 Principal place	Washington ce of business (if different than line	State	DC	- Zip	20006	-	_ Country .	USA	
City	ce of business (if different than fine	State		Zip			Country		
-	ription of client's business or activi			. Z ıp			_ Country		
	gulator of securities firms in the Un								
LOBBYIS		nied States							
10. Name of eac	ch individual who has acted or is exp served as a "covered executive bran	•	islative b	ranch	official" v	within twen	• 1		
					_		a)		
	Name Last	Suffix	Co	vered Of	ficial Positio	on (if applicabl	e)		
acting as a lobby First Michael	Name Last Oxley	Suffix U.S. Repre	Co esentative	vered Of e, Four	ficial Position	on (if applicable	e)		
First Michael Michael	Name Last Oxley Oxley	Suffix U.S. Repre	coesentative	vered Of e, Four tee on	ficial Position th Ohio D Financial	District Services			
acting as a lobby First Michael	Name Last Oxley	Suffix U.S. Repre Chairman, Deputy Ch	esentative Commit	vered Of e, Four tee on aff, Co	ficial Position th Ohio D Financial mms. Dir	District Services	e on Fin. Ser	vs.	

v6.0.2a Page 1 of 2

LOBBYING ISSUE	S								
11. General lobbying issue ar	reas (Select all applicab	ele codes).							
FIN									
12. Specific lobbying issues (current and anticipated	l)							
Regulation of securities indus	_		f broker-dealers and inv	vestment advisers					
AFFILIATED ORG	ANIZATIONS								
13. Is there an entity other that a quarterly period and either a lobbying activities?				_					
✓ No> Go to line	14.	Yes> Complete the rest of this section for each entity matching the criteria above, then proceed to line 14.							
Internet Address:									
Name		Address		Principal Place of B	usiness				
	Street City	State/Province	Zip Code Country						
	21.0		City						
			State	Countr	y				
			City						
			State	Countr	y				
			City						
			State	Countr	у				
FOREIGN ENTITI	FS								
14. Is there any foreign entity									
a) holds at least 200 b) directly or indirectly or any or	% equitable ownership ectly, in whole or in maganization identified or	zation identified on line	es, controls, directs, fina 13 and has a direct inte	ances or subsidizes a	of the				
✓ No> Sign and d	ate the registration.		the rest of this section then sign the registrati		ching				
Name	Address Street	Princi (city)	pal place of business and state or country)	Amount of contribution for lobbying activities	Ownership				
	City State/P	rovince Country City							
		State	Country		%				
		City							
		State	Country		%				
Signoture of Digitally Signo	ed By: Michael G. Oxley	,		Date 03/	15/2011				
Signature Digitally Signature Printed Name and Title M	ed By: Michael G. Oxley			Date <u>03/</u>	15/2011				

Client Name

FINRA, Financial Industy Regulatory Authority

Registrant

Baker & Hostetler LLP